

<b>Notice of Allowability</b>	Application No.	Applicant(s)	
	09/591,912	CORNING ET AL.	
	Examiner	Art Unit	
	Stefano Karmis	3691	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to Amendment filed 21 June 2007.
2. ☒ The allowed claim(s) is/are 38-57.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the:
  1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 5. <input type="checkbox"/> Notice of Informal Patent Application                      |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____. |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br>Paper No./Mail Date _____    | 7. <input type="checkbox"/> Examiner's Amendment/Comment                               |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance   |
|  | 9. <input type="checkbox"/> Other _____.   |

### **DETAILED ACTION**

1. The following communication is in response to Applicant's amendment filed 21 June 2007.

#### ***Status of Claims***

2. Claims 1-37 are cancelled. Claims 38-42 are previously presented. Claims 43-57 are newly added. Therefore claims 38-57 are currently pending.

#### ***Allowable Subject Matter***

3. The following is an examiner's statement of reasons for allowance:

Prior art U.S. Patent 6,526,285 to Matsumoto et al. (hereinafter Matsumoto) teaches a stock information presentation system provided with a portable telephone apparatus wherein the portable telephone apparatus can send the data server at least one of an industry division code and a classified company stock code and the telephone apparatus is provided with a small display to display the stock information (column 20, lines 30-49). A stock price database contains information about companies belonging to an electrical apparatus division and companies belonging to a construction division (column 20, lines 58-67 and column 21, lines 39-56 and Figure 2). The portable has a first RAM which is an example of a first memory device for storing the stock information data sent and received by the data server. The ROM constitutes an example of a second memory device for storing the company name data corresponding to the

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classified company stock code (column 23, lines 50-67 and column 26, lines 1-45). Matsumoto teaches that stock information about an individual company can belong to one industry division or a plurality of industry divisions (column 25, lines 11-48 and Figure 3 and Figure 5).

Prior art U.S. Patent 6,647,410 to Scimone et al. (hereinafter Scimone) teaches a method, apparatus and program for delivery and display of information from dynamic and static data sources for various types of data including current pricing data use din Watch Lists and Portfolios (column 12, lines 1-14 and column 12, lines 35-44). Scimone teaches that a user can name his or her own Watch List and Portfolio folders, and each user can have more than one Watch List folder and more than one Portfolio folder (column 12, lines 45-50). Dynamic and static data can be displayed in a number of Master Views each of which is comprised of one or more Sub-Views (column 14, lines 45-63 and column 15, lines 5-19). Scimone further teaches that Sub-Views can be modified by the user in any manner that best suits his or her needs and in addition users can generate and customize their own Sub-Views to best meet their preferences (column 16, lines 33-41 and column 17, lines 36-49). Scimone also teaches Netting Sub-View which summarizes data for two categories of companies defined by the user and the Spreadsheet Sub-View in which a user can have more than one Portfolio folder, for example, to group instruments of the same type (e.g. stocks, bonds) together (column 20, lines 1-57).

Non-patent literature SmartServ's Alliance Program Expands Presence at CTIA's Wireless 2000 teaches that SmartSeve's Web and wireless application services platform offerings include fully automated stock trading and transaction order routing in order to

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capitalize on opportunities in the mobile internet market (page 1). SmartServe Online, Inc. offers a wide range of Web and wireless information and transactional-enabling applications, including Web-based and WAP-enabled stock trade order routing solutions (page 2, bottom). SmartServe offers transaction processing and provides customizable categories of real-time information, including: personal watch lists, real-time tick by tick quotes, charting, company and financial news, etc.

The prior art fails to teach A method for organizing information for a plurality of securities which are being tracked in a hand held computing device of the type which is capable of exchanging information with a communications center, comprising: maintaining a data list in the hand held computing device, which includes information for all of the plurality of securities being tracked; displaying a first number which indicates a running total of the number of items in the data list, and displaying a second number which indicates the predetermined number of items permitted in the data list; generating a plurality of different sublists wherein each sublist includes a different subset of the plurality of securities being tracked, wherein each sublist corresponds to a user defined category tag, whereby each security displayed in a particular sublist has a user defined category tag which corresponds to the user defined category tag of the particular sublist; for at least a first one of the securities being tracked providing at least two user defined category tags; and displaying in a sublist associated with a designated user defined category tag all of those securities in the data list which have the designated category tag, wherein the first security which has at least two different user defined category tags, will be displayed in at least two different sublists of securities based on the at least two user defined category tags provided for

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the first security. For these reasons, claim 38 is deemed allowable over the prior art.

Independent claims 43, 48 and 53 are allowed for similar reasons to that of claim 38. Dependent claims 39-42, 44-47, 49-52 and 54-57 are allowable based on their dependency to claims 38, 43, 48 and 53.

4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### ***Conclusion***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stefano Karmis whose telephone number is (571) 272-6744. The examiner can normally be reached on M-F: 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alex Kalinowski can be reached on (571) 272-6771. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Respectfully Submitted  
Stefano Karmis  
10 September 2007



HANI M. KAZIMI  
PRIMARY EXAMINER